

Follow-up audit on the implementation of main recommendations

Federal Office of Transport/Zentralbahn

Key facts

The Swiss Federal Audit Office (SFAO) conducted a follow-up audit on the implementation of its main recommendations made in 2015–2020 at the Federal Office of Transport (FOT) and Zentralbahn (zb)¹.

As part of this follow-up audit, six recommendations were followed up on. Of these, four have been fully implemented and two only partly.

Successfully implemented recommendations

Recommendation 15073.001 on establishing risk-based portfolio controlling, which had the highest level of priority (Priority A), has been implemented. The reduction of the controlling burden, as formulated in the SFAO's recommendation and desired by all those involved, has been successfully implemented with the newly established portfolio controlling. At the same time, this strengthens the controlling for the most important projects.

The SFAO was also able to confirm implementation of recommendations 20389.012 and 201389.013 on improving IT security.

With the reimbursement of the incorrectly paid subsidies and excess compensation payments from rail replacement services and additional railway services, significant aspects of recommendations 19343.003 and 19343.004 have already been addressed.

Necessary steps for the implementation of the outstanding recommendations

For the implementation of recommendation 18171.003, the division of roles and responsibilities between the Confederation and the cantons in the ordering procedure for regional passenger transport should be definitively clarified and set out in a joint document, which should be binding for all those involved.

In order for recommendation 19343.003 to be fully implemented, the guidance document "Expenses for internal group services in the divisions entitled to subsidies (regional passenger transport and infrastructure)" should be finalised, brought into force, made available to all infrastructure operators and made binding.

Original text in German

¹ "Audit of project management for rail projects" (PA 15073), "Audit of supervision of ordering regional passenger transport" (PA 18171), "Audit of the divisional accounts (PA 19343) and "Audit of the ICT resilience of critical infrastructures – implementation of the minimum standard for railway control systems" (PA 20389) – all of these reports are available on the SFAO's website (www.sfao.admin.ch).