



Audit of the Swiss National Action Plan – Electronic Exchange of Social Security Information (SNAP-EESSI) project
Federal Social Insurance Office

Key facts

The countries in the European Union (EU) have been implementing the new Community regulations on the coordination of national social security schemes since 1 May 2010. The EU regulations make provision for the electronic exchange of data in the area of social security. Switzerland is involved in this exchange of data in accordance with the Agreement on the Free Movement of Persons and implements the requirements with the SNAP-EESSI programme.

The programme has an ICT growth credit of CHF 10.5 million. The Federal Social Insurance Office (FSIO) is thereby ensuring the establishment of central ICT infrastructures up to 2020 and coordinates the projects of the social security sectors. The respective branches are themselves responsible for implementing their projects, including their realisation and operating costs. The programme thus primarily has a coordinating role in implementing the objectives beyond the FSIO's field of supervision. It has thereby been possible for existing activities to be conducted and coordinated with appropriate expenses.

The Swiss Federal Audit Office (SFAO) audited the programme the first time in 2013¹. The ten recommendations have been implemented by the addressees. The FSIO introduced significant measures for greater standardisation of the first pillar information systems with CARTOSI (mapping of the social security information systems). This second audit shows that there is still room for improvement in individual areas.

The ICT security processes and the operating model can be optimised

The ICT security of both of the ongoing projects ALPS and Pension largely pursues basic ICT security. In both cases, however, the responsible bodies have not checked the implementation of basic security measures. These deficiencies must be subsequently remedied.

Both projects have already introduced initial versions of their applications. An overarching operating model which defines the central and decentralised tasks and responsibilities has not yet been established, however. Accordingly, the expenses and their financing cannot yet be estimated. These outstanding issues must be tackled in a timely manner.

The programme plan for the additional projects initiated in the FSIO and the social security branches must now be fine-tuned. Moreover, various tasks and responsibilities in the programme have to be clarified. These include in particular the areas of contract management and quality and risk management, which at the time of the audit did not fully cover the tasks.

Original text in German

¹ "Audit of the key ICT project SNAP-EESSI" (audit mandate 13505), available on the SFAO website (www.sfao.admin.ch).